PTO/SB/08a (08-03.)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number	
INFORMATION DIOCI COURT	Filing Date	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor F	uquan ZENG
(Not for submission under 37 CFR 1.99)	Art Unit	
(Examiner Name	
	Attorney Docket Number	41332

					U.S.I	PATENTS			Remove	
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue D	ate	Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1	4185003		1980-01	1-22	Hoeschele				
	2	6274212	B1	2001-08	B- 1 4	Rule et al.				
If you wisl	n to a	dd additional U.S. Pater	nt citatio	n inform	ation pl	ease click the	Add button.		Add	
			U.S.P	ATENT	APPLI	CATION PUB	LICATIONS		Remove	
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	ition	Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
If you wis	1	dd additional U.S. Publi	shed An	plication	citatio	pinformation	nlease click the Ad	1 button	Add	
ii you mo		ad additional o.e. i abii				ENT DOCUM		- Dutton	Remove	
Examiner Initial*	Cite No	Foreign Document Number ³	,		Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	Т5
	1	02/079310	wo		A2	2002-10-10	Ciba Specialty Chemicals Holding Inc.			
	2	03/031507	wo		A2	2003-04-17	Ciba Specialty Chemicals Holding	Inc.		

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number			
Filing Date			
First Named Inventor	Fuqu	an ZENG	7
Art Unit			
Examiner Name		3 444	
Attorney Docket Numb	er	41332	

If you wis	h to a	dd add	ditional Foreign Patent Document citation information please	click the Add button	Add	
			NON-PATENT LITERATURE DOCUME	ENTS	Remove	
Examiner Initials*	Cite No	(bool	ude name of the author (in CAPITAL LETTERS), title of the ar ok, magazine, journal, serial, symposium, catalog, etc), date, p isher, city and/or country where published.		**	T 5
	1	Interi	rnational Search Report dated July 14, 2005 (PCT/GB2005/001042)			
If you wis	h to a	dd add	ditional non-patent literature document citation information ple	ease click the Add b	utton Add	
			EXAMINER SIGNATURE			
Examiner	Signa	ture		Date Considered		
			reference considered, whether or not citation is in conformar rmance and not considered. Include copy of this form with n			
Standard ST ⁴ Kind of doo	F.3). ³ F cument	or Japa by the a	TO Patent Documents at www.uspro.gov or MPEP 901.04. ² Enter office anese patent documents, the indication of the year of the reign of the Emper appropriate symbols as indicated on the document under WIPO Standard S on is attached.	ror must precede the seri	al number of the patent do	cument.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Paul Serbinowski

Application Number		
Filing Date		
First Named Inventor	Fuquan ZENG	
Art Unit		
Examiner Name		
Attorney Docket Numb	er 41332	

·	CEI	RTIFICATION STATEMENT	
Please see 37 C	FR 1.97 and 1.98 to make the appro	priate selection(s):	
from a fore		information disclosure statement was preign application not more than three .97(e)(1).	
OR			
foreign pate after making any individu	ent office in a counterpart foreign a g reasonable inquiry, no item of info	nformation disclosure statement was oplication, and, to the knowledge of the rmation contained in the information dinore than three months prior to the fi	ne person signing the certification sclosure statement was known to
See attache	d certification statement.		
Fee set fort	n in 37 CFR 1.17 (p) has been subm	itted herewith.	
✓ None		Clovezupe	
A signature of the form of the signal		SIGNATURE iired in accordance with CFR 1.33, 10.1	18. Please see CFR 1.4(d) for the
Signature	/paul a serbinowski/	Date (YYYY-MM-DD)	2006-09-22
Name/Print	Paul Serbinowski	Registration Number	34429

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration Number

34429

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
 court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
 negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
 may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
 to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.